

04-05-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(for continuation/divisional/continuation-in-part applications under 37 C.F.R. § 1.53(b))



Customer No. 000201
Attorney Docket Number: J6497(C)
Applicants: Lorelei Marie BRANDT; Paul Howard NEILL; John Edward WYDILA
For: HAIR STYLING COMPOSITIONS
Express Mail Label No.: EF 223 106 084 US
Date Deposited: April 4, 2001
UNUS No.: 97-0326-A-HC
Assignee: Unilever Home & Personal Care USA, Division of Conopco, Inc.

To: Assistant Commissioner for Patents
Box: Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

- 1. [X] Fee Calculation (Box 15) and Authorization (Triplicate copies of this form are enclosed)
2. [X] Specification and Claims - 33 Total Pages
3. [] <Formal or Informal> Drawings () Total Sheets
4. [X] Executed Declaration
a. [] New
b. [X] Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 14 completed).
i. [] Signed statement attached deleting inventors named in the prior application (37C.F.R. § 1.63(d)(2) and 1.33(b)).
5. [] Incorporation by reference (useable if Box 4(b) is checked). The entire disclosure of the prior application, from which a copy of the declaration is supplied under Box 4(b), is considered as being part of the accompanying application and is hereby incorporated by reference herein.
6. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. [] Computer Readable Copy
b. [] Paper copy (identical to computer copy)
c. [] Statement verifying identify of above copies.

ACCOMPANYING APPLICATION PARTS

- 7. [] Information Disclosure Statement (IDS)/PTO-1449
8. [] Copies of IDS citations
9. [X] Preliminary Amendment
10. [X] Two (2) Return Receipt Postcards
11. [] Certified Copy of Priority Document
12. [] The benefit under 35 U.S.C. § 119 is claimed of the filing of:
13. [] Other:

14. ☒ If a **CONTINUING APPLICATION**, check appropriate box and supply requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
of prior application No.: 09/275,149; title: HAIR STYLING COMPOSITIONS

a1. ☐ **[ATTORNEY TO CHOOSE a1 or a2]** Amend the specification by inserting before the first line:

—This is a <continuation, divisional or continuation-in-part> **SECY - CHOOSE ONE** of Serial No. _____ filed _____, which was a national stage filing under 35 USC 371 of PCT/XX/_____ filed _____ which International Application was published by the International Bureau in English on _____--.

a2. ☒ Amend the specification by inserting before the first line:

—This is a continuation of Serial No. 09/275,149 filed March 24,1999--.

b. ☒ The prior application is assigned to Unilever Home & Personal Care USA, Division of Conopco, Inc.

c. ☐ Priority of foreign application No. _____ filed on _____, in the country of _____ is claimed under 35 U.S.C. § 119. A certified copy of the foreign priority application has been filed in prior application Serial No. _____ filed on _____.

d. ☐ *(Check if applicable)* Abandon said prior application as of the filing date accorded this application. A duplicate copy of this form is included for filing in the prior application.

e. ☐ *(Check if applicable)* Transfer the drawings from the prior application to this application. A duplicate copy of this form is included for filing in the prior application.

15. ☒ The FILING FEE (including any claims introduced or cancelled by Preliminary Amendment) is calculated below:

CLAIMS				
FOR	NUMBER FILED		NUMBER EXTRA	RATE
				BASIC FEE \$710.00
Total Claims	13 - 20			X \$ 18.00
Independent Claims	1 - 3			X \$ 80.00
Multiple Claims	<u>Yes</u>	<u>No</u>		X \$270.00
TOTAL FILING FEE . . .				\$710.00

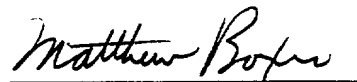
16. ☒ Charge \$710.00 to Deposit Acct. #12-1155. Triplicate copies of this letter are enclosed.

17. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, including all required fees under
☒ 37 C.F.R. § 1.16;
☒ 37 C.F.R. § 1.17;
☒ 37 C.F.R. § 1.18.

18. ☒ Correspondence Address:

CUSTOMER NUMBER: 000201

Respectfully submitted,



Matthew Boxer
Attorney of Record
Reg. #28,495

MB/sc
(201) 840-2963

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02/07/2001